

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Docket Number</b> 04-6174	<b>Application Number</b> 10/767,699
<b>Applicants</b> Thomas J. DALEY, et al.			
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### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		Daisuke Ochiai, "Electronic Finance and Security Trading, London Stock Exchange Transfers to New Trading System", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.
		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).
		Japanese Office Action with English translation for Application No. 2006-551589, dated August 31, 2010 (7 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).
Examiner Signature		
		<b>Date Considered</b>